

# EXHIBIT A

## Certificate of Registration



This Certificate issued under the seal of the Copyright Office in accordance with title 17, *United States Code*, attests that registration has been made for the work identified below. The information on this certificate has been made a part of the Copyright Office records.

REO 932-594



EFFECTIVE DATE OF RENEWAL REGISTRATION

MAY 01 2019  
MM DD YYYY

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Acting United States Register of Copyrights and Director  
**TITLE AND ORIGINAL TERM INFORMATION**

- A Title of work as first published or registered: Lou Reed Transformer 1972  
IF YOU NEED MORE SPACE, USE SPACE B ON FORM RE/CON
- If periodical, give: Volume: \_\_\_\_\_ Number: \_\_\_\_\_ Issue date: \_\_\_\_\_ ISSN: \_\_\_\_\_
- B Alternative title: \_\_\_\_\_  
IF YOU NEED MORE SPACE, USE SPACE B ON FORM RE/CON
- C If first published as a contribution to a periodical or other collective work, give title of larger work:  
\*Photo on Album cover  
IF YOU NEED MORE SPACE, USE SPACE B ON FORM RE/CON
- If periodical, give: Volume: \_\_\_\_\_ Number: \_\_\_\_\_ Issue date: \_\_\_\_\_ ISSN: \_\_\_\_\_
- D Has this work (or the work in which it was first published, if a contribution) ever been registered?  
Yes ☐ Give registration number: \_\_\_\_\_ **OR** No ☒ (Complete Form RE/Addendum.)
- E Publication date: 4/8/72 (MM/DD/YYYY) **OR** Registration date, if registered as unpublished work: \_\_\_\_\_ (MM/DD/YYYY)
- F Year date(s) in copyright notice, if earlier than actual publication year date: 19 \_\_\_\_\_ ISBN: \_\_\_\_\_
- G Original copyright claimant(s): MICK ROCK  
IF YOU NEED MORE SPACE, USE SPACE B ON FORM RE/CON
- H If the work was originally registered in Class Ai or Bi, was a U.S. edition registered?  
Yes ☐ Give registration number: \_\_\_\_\_ **OR** No ☐
- I If the original registration record was corrected or amplified by supplementary registration on Form CA, give the registration number and effective date of the supplementary registration: Number: \_\_\_\_\_ Date: \_\_\_\_\_

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**WORK OR MATERIAL CLAIMED AND AUTHOR INFORMATION**

- A Copyright for the renewal term is claimed in: (Check only one.)
- \* ☒ the entire work.
- 2 ☐ a contribution first published in a periodical or other collective work.
- 3 ☐ revisions in previously published or registered work. Describe revisions: \_\_\_\_\_
- \* 4 ☒ separate element(s) of authorship in a larger work. Specify element(s): B/W photo on front of album cover  
**OR**
- 5 ☐ The renewal claim is based on the first publication of a work previously registered as an unpublished work under registration number: \_\_\_\_\_
- B The following author(s) contributed to the work or material claimed:
- Name: MICK ROCK This author created: Photo on Album cover  
Date of death, if applicable: \_\_\_\_\_ (MM/DD/YYYY)
- Name: \_\_\_\_\_ This author created: \_\_\_\_\_  
Date of death, if applicable: \_\_\_\_\_ (MM/DD/YYYY)
- Name: \_\_\_\_\_ This author created: \_\_\_\_\_  
Date of death, if applicable: \_\_\_\_\_ (MM/DD/YYYY)
- IF THERE ARE ADDITIONAL AUTHORS, USE SPACE D ON FORM RE/CON.

**PRIVACY ACT ADVISORY STATEMENT** Required by the Privacy Act of 1974 (PL 93-579) The authority for requesting this information is title 17 U.S.C. 109 and 1201. Furnishing the requested information is voluntary. But if the information is not furnished, it may be necessary to delay or refuse registration and you may not be entitled to certain relief, remedies, and benefits provided in chapters 4 and 5 of title 17 U.S.C. The principal uses of the requested information are the establishment and maintenance of a public record and the examination of the application for compliance with the registration requirements of the copyright code. Other routine uses include public inspection and copying, preparation of public indexes, preparation of public catalogs of copyright registrations, and preparation of search reports upon request. NOTE: No other advisory statement will be given in connection with this application. Please keep this statement and refer to it if we communicate with you regarding this application.



\* Amended by C.O. authority of Debbie Carrillo  
per email received 01/23/2020.

APPLICATION RECEIVED: **MAY 01 2019**  
CORRESPONDENCE: ☒ YES  
EXAMINED BY: **CS**  
FUNDS RECEIVED: **MAY 01 2019**

DO NOT WRITE ABOVE THIS LINE.  
IF YOU NEED MORE SPACE, USE FORM RE/CON.

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### RENEWAL TERM OWNERSHIP INFORMATION

**A** Name the party or parties entitled by law to claim the renewal copyright on the last day of the original 28-year term of copyright. For each statutory claimant named, give the basis of the claim, and provide a current address. Read instructions.

Name: MICK ROCK as the: \* author  
Address: 28 Livingston Court Staten Island, NY 10310 ☐ OR ☐ Year of death: \_\_\_\_\_

Name: \_\_\_\_\_ as the: \_\_\_\_\_  
Address: \_\_\_\_\_ ☐ OR ☐ Year of death: \_\_\_\_\_

Name: \_\_\_\_\_ as the: \_\_\_\_\_  
Address: \_\_\_\_\_ ☐ OR ☐ Year of death: \_\_\_\_\_

Name: \_\_\_\_\_ as the: \_\_\_\_\_  
Address: \_\_\_\_\_ ☐ OR ☐ Year of death: \_\_\_\_\_

IF THERE ARE ADDITIONAL STATUTORY CLAIMANTS, USE SPACE E ON FORM RE/CON.

**B** This application is submitted by or on behalf of the: (Check only one.)

☒ Statutory claimant(s) named above. (Go on to section 4.)

OR

☐ Assignee/successor of the statutory claimant(s) named above. (Complete space C below.)

**C** \_\_\_\_\_ by \_\_\_\_\_  
ASSIGNEE/SUCCESSOR MEANS OF TRANSFER  
Current address: \_\_\_\_\_

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### CERTIFICATION

I, the undersigned, hereby certify that I am the statutory claimant, the assignee/successor, or the duly authorized agent of the statutory claimant or assignee/successor, and that the statements made by me in this application are correct.

Typed or printed name: Richard Liebowitz Date: 4/29/19

Handwritten signature: Richard Liebowitz

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### PAYMENT, CORRESPONDENCE, AND MAILING INFORMATION

Deposit account number: \_\_\_\_\_ Account name: Liebowitz Law Firm

Contact information for correspondence, including name and mailing address: \_\_\_\_\_

Phone: (516) 233-1660 Fax: (\_\_\_\_) \_\_\_\_\_ Email: rl@liebowitzlawfirm.com

### MAILING ADDRESS FOR CERTIFICATE:

NAME: Liebowitz Law Firm  
NUMBER/STREET: 11 Sunrise Plaza, Suite 305  
CITY/TOWN: Valley Stream, NY STATE/PROVINCE: NY ZIP/POSTAL CODE: 11580 APT./SUITE: \_\_\_\_\_ COUNTRY: \_\_\_\_\_

17 USC §506(e): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.